FORM PTO-1390 U.S. DEPARTMENT OF COMME	RCE PATENT AND TRADEMARK OFFICE	ATTORNEY 'S DOCKET NUMBER
(REV. 11-2000)	015280-377100US	
TRANSMITTAL LETTER	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
DESIGNATED/ELECTE	09/936,888	
CONCERNING A FILIN		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US00/06364	March 10, 2000	March 12, 1999
TITLE OF INVENTION	,	
PREVENTION OF FETAL ALCOHOL	SYNDROME AND NEURONAL CELL	DEATH WITH ADNF POLYPEPTIDES
ADDITION NIT(S) FOD DO/FO/LIS		
APPLICANT(S) FOR DO/EO/US Douglas BRENNEMAN, Catherine Y	7. Spong. Illana Gozes, Meray Bassar	and Rachel Zamostiano
	-	
		S) the following items and other information:
1. This is a FIRST submission of item	ns concerning a filing under 35 U.S.C. 37	1.
	NT submission of items concerning a filing	
		371(f). The submission must include items
(5), (6), (9) and (21) indicated below		
	ration of 19 months from the priority date (Article 31).
5. A copy of the International Applicat		1.5
	only if not communicated by the Internatio	nal Bureau).
b. has been communicated by		
	cation was filed in the United States Receiv	-
6. An English language translation of t	he International Application as filed (35 U.	S.C. 371(c)(2)).
a. is attached hereto.		·
b. has been previously submit	ted under 35 U.S.C. 154(d)(4).	
7. Amendments to the claims of the Int	ternational Application under PCT Article 1	9 (35 U.S.C. 371(c)(3)).
a. are attached hereto (require	d only if not communicated by the Internati	onal Bureau).
b. have been communicated b	y the International Bureau.	
c. have not been made; however	er, the time limit for making such amendm	ents has NOT expired.
d. have not been made and wi	ll not be made.	
8. An English language translation of t	he amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371 (c)(3)).
	or(s) (35 U.S.C. 371(c)(4)). [Please see bel	
10. An English language translation of t		
Article 36 (35 U.S.C. 371(c)(5)).	,	•
Items 11 to 20 below concern docu	ment(s) or information included:	•
11. An Information Disclosure Statemen	nt under 37 CFR 1.97 and 1.98.	
12. An assignment document for record	ing. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.
13. A FIRST preliminary amendment.		
14. A SECOND or SUBSEQUENT pre	liminary amendment.	e de la companya de
15. A substitute specification.	<u> </u>	
16. ☐ A change of power of attorney and/o	or address letter.	The same of the sa
17. A computer-readable form of the sec		
18. A second copy of the published inte		
19. A second copy of the English langua		on under 35 U.S.C. 154(d)(4).
		ed Declarations. Enclosed are copies from
20. ☐ Other items or information: Apour EXPRESS MAIL NO. EL394871695	US filing to the PTO with the Declaration	is and Powers of Attorney of Douglas
Brenneman and Catherine Y. Spong; De	clarations of Illana Gozes, Merav Bassan	, and Rachel Zamostiano; Express Mail
Receipt; and Return Receipt Postcard. 7	Therefore, Applicants believe that NO FE	E IS DUE.

	1/S/ Application no. (if known, see 37 CFR 1.5) 09/936,888	INTERNATIONAL APPLICATION N PCT/US00/06364	NO.	ATTORNEY'S DOCKET 015280-377100	
	21. The following fees are submitted:	101/0500/0050/		CALCULATIONS P	
	BASIC NATIONAL FEE (37 CFR 1.492(A)	(1) – (5)):	***************************************		
	Neither international preliminary examination fe nor international search fee (37 CFR 1.445(a)(2) and International Search Report not prepared by	e (37 CFR 1.492)) paid to USPTO	\$1000.00		
•	International preliminary examination fee (37 Cl USPTO but International Search report prepared		\$860.00		
	International preliminary examination fee (37 Cluster) USPTO but international search fee (37 CFR 1.4		\$710.00		
	International preliminary examination fee (37 Cluster USPTO but all claims did not satisfy provisions	of PCT Article 33(1)-(4)	\$690.00		
	International preliminary examination fee (37 Cl USPTO and all claims satisfied provisions of PC	CT Article 33(1)(4)	\$100.00		1
	ENTER APPROPRIATE B			\$	
	Surcharge of \$130.00 for furnishing the oath or months from the earliest claimed priority date (3	7 CFR 1.492(e)).	30	\$0	
	CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$	
	Total claims 33 - 20 = Independent claims 3 - 3 =	13	x \$18.00 x \$80.00	\$0 \$0	
	Independent claims 3 -3 = MULTIPLE DEPENDENT CLAIM(S) (if applied)		+ 270.00	\$0	
		OF ABOVE CALCULA		\$0	
	Applicant claims small entity status. above are reduced by 1/2.			\$	
		SUB	TOTAL =	\$0	
	Processing fee of \$130.00 for furnishing the Engmonths from the earliest claimed priority date (3		0 🔲 30	\$	
		TOTAL NATION	AL FEE =	0	
	Fee for recording the enclosed assignment (37 C accompanied by an appropriate cover sheet (37 C			\$	
or der andie er	AYPAEH 00000134 03938AAA	TOTAL FEES ENCI	LOSED =	\$0	
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				charged:	\$0
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	b. Please charge my Deposit Account N	o. <u>20-1430</u> in the amount of \$	to cove	er the above fees.	
	c. The Commissioner is hereby authorize overpayment to Deposit Account No.			_	
	d. Fees are to be charged to a credit care information should not be included				
				:	
	NOTE: Where an appropriate time limit und 1.137(a) or (b) must be filed and granted to re			, a petition to revive (37	CFR
	SEND ALL CORRESPONDENCE TO:			SIGNATURE	Variation of the second
	Annette S. Parent				
	Townsend and Townsend and Crew LLP			Annette S. Pa	rent
	Two Embarcadero Center, 8th Floor			NAME	
,	San Francisco, CA 94111				
				42,058	
				REGISTRATION	NUMBER

FORM PTO-1390 (rev 11-2000) page 2 of 2 SF 1310160 v1

BOX PCT (National Phase) PATENT APPLICATION FILING ACKNOWLEDGMENT

Mailing Date: September 12, 2001

Express Mail Label No.: EL 394871695 US

File No.: <u>015280-377100US</u>

Attorney: Annette S. Parent/kji

Inventor(s): BRENNEMAN et al.

Title: PREVENTION OF FETAL ALCOHOL SYNDROME AND NEURONAL CELL

DEATH WITH ADNF POLYPEPTIDES

 ☐ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 U.S.C. 371, w/authorization to charge fee (+duplicate);

Declarations and Powers of Attorney of Douglas Brenneman and Catherine Y. Spong;

Declarations of Illana Gozes, Merav Bassan, and Rachel Zamostiano;

Appointment of Associate Attorneys and Agents;

Statement under 37 C.F.R. §3.73(b); and

Please stamp date of receipt of the enclosed documents and return this card to addressee

BOX PCT (National Phase) PATENT APPLICATION FILING ACKNOWLEDGMENT

Mailing Date: September 12, 2001

Express Mail Label No.: EL 394871695 US

Attorney: Annette S. Parent/kji

rue <u>_15280-377100US</u> Inventor(s): BRENNEMAN et al.

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Declarations of Illana Gozes, Merav Bassan, and Rachel Zamostiano;

Appointment of Associate Attorneys and Agents;

Statement under 37 C.F.R. §3.73(b); and Change of Correspondence Address.

09/936888

1 2 SEP 2001 JC03 Rec'd PCT/PTO

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EL394871695US

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POST OFFICE TO ADDRESSEE



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